### **Environmental Protection Agency**

the unit's emissions are correctly and fully monitored. The certification shall state that:

- (1) The monitoring data submitted were recorded in accordance with the applicable requirements of this subpart and part 75 of this chapter, including the quality assurance procedures and specifications; and
- (2) For a unit with add-on  $SO_2$  emission controls and for all hours where  $SO_2$  data are substituted in accordance with §75.34(a)(1) of this chapter, the add-on emission controls were operating within the range of parameters listed in the quality assurance/quality control program under appendix B to part 75 of this chapter and the substitute data values do not systematically underestimate  $SO_2$  emissions.

#### § 97.275 Petitions.

The CAIR designated representative of a CAIR SO<sub>2</sub> unit may submit a petition under §75.66 of this chapter to the Administrator requesting approval to apply an alternative to any requirement of this subpart. Application of an alternative to any requirement of this subpart is in accordance with this subpart only to the extent that the petition is approved in writing by the Administrator, in consultation with the permitting authority.

### Subpart III—CAIR SO<sub>2</sub> Opt-in Units

## § 97.280 Applicability.

- A CAIR  $SO_2$  opt-in unit must be a unit that:
- (a) Is located in a State that submits, and for which the Administrator approves, a State implementation plan revision in accordance with §51.124(r)(1), (2), or (3) of this chapter establishing procedures concerning CAIR opt-in units;
- (b) Is not a CAIR SO<sub>2</sub> unit under §97.204 and is not covered by a retired unit exemption under §97.205 that is in effect:
- (c) Is not covered by a retired unit exemption under §72.8 of this chapter that is in effect and is not an opt-in source under part 74 of this chapter;
- (d) Has or is required or qualified to have a title V operating permit or other federally enforceable permit; and

(e) Vents all of its emissions to a stack and can meet the monitoring, recordkeeping, and reporting requirements of subpart HH of this part.

#### § 97.281 General.

- (a) Except as otherwise provided in  $\S\S97.201$  through 97.204,  $\S\S97.206$  through 97.208, and subparts BBB and CCC and subparts FFF through HHH of this part, a CAIR SO<sub>2</sub> opt-in unit shall be treated as a CAIR SO<sub>2</sub> unit for purposes of applying such sections and subparts of this part.
- (b) Solely for purposes of applying, as provided in this subpart, the requirements of subpart HHH of this part to a unit for which a CAIR opt-in permit application is submitted and not withdrawn and a CAIR opt-in permit is not yet issued or denied under this subpart, such unit shall be treated as a CAIR  $SO_2$  unit before issuance of a CAIR opt-in permit for such unit.

## § 97.282 CAIR designated representative.

Any CAIR  $SO_2$  opt-in unit, and any unit for which a CAIR opt-in permit application is submitted and not withdrawn and a CAIR opt-in permit is not yet issued or denied under this subpart, located at the same source as one or more CAIR  $SO_2$  units shall have the same CAIR designated representative and alternate CAIR designated representative as such CAIR  $SO_2$  units.

# § 97.283 Applying for CAIR opt-in permit.

- (a) Applying for initial CAIR opt-in permit. The CAIR designated representative of a unit meeting the requirements for a CAIR SO<sub>2</sub> opt-in unit in §97.280 may apply for an initial CAIR opt-in permit at any time, except as provided under §97.286(f) and (g), and, in order to apply, must submit the following:
- (1) A complete CAIR permit application under §97.222;
- (2) A certification, in a format specified by the permitting authority, that the unit:
- (i) Is not a CAIR  $SO_2$  unit under §97.204 and is not covered by a retired unit exemption under §97.205 that is in effect;

#### § 97.284

- (ii) Is not covered by a retired unit exemption under §72.8 of this chapter that is in effect:
- (iii) Is not and, so long as the unit is a CAIR  $SO_2$  opt-in unit, will not become, an opt-in source under part 74 of this chapter;
- (iv) Vents all of its emissions to a stack; and
- (v) Has documented heat input for more than 876 hours during the 6 months immediately preceding submission of the CAIR permit application under § 97.222;
- (3) A monitoring plan in accordance with subpart HHH of this part;
- (4) A complete certificate of representation under §97.213 consistent with §97.282, if no CAIR designated representative has been previously designated for the source that includes the unit; and
- (5) A statement, in a format specified by the permitting authority, whether the CAIR designated representative requests that the unit be allocated CAIR SO<sub>2</sub> allowances under §97.288(b) or §97.288(c) (subject to the conditions in  $\S\S97.284(h)$  and 97.286(g), to the extent such allocation is provided in a State implementation plan revision submitted in accordance with §51.124(r)(1), (2), or (3) of this chapter and approved by the Administrator. If allocation under §97.288(c) is requested, this statement shall include a statement that the owners and operators of the unit intend to repower the unit before January 1, 2015 and that they will provide, upon request, documentation demonstrating such intent.
- (b) Duty to reapply. (1) The CAIR designated representative of a CAIR  $SO_2$  opt-in unit shall submit a complete CAIR permit application under §97.222 to renew the CAIR opt-in unit permit in accordance with the permitting authority's regulations for title V operating permits, or the permitting authority's regulations for other federally enforceable permits if applicable, addressing permit renewal.
- (2) Unless the permitting authority issues a notification of acceptance of withdrawal of the CAIR  $SO_2$  opt-in unit from the CAIR  $SO_2$  Trading Program in accordance with §97.286 or the unit becomes a CAIR  $SO_2$  unit under §97.204, the CAIR  $SO_2$  opt-in unit shall remain

subject to the requirements for a CAIR  $\mathrm{SO}_2$  opt-in unit, even if the CAIR designated representative for the CAIR  $\mathrm{SO}_2$  opt-in unit fails to submit a CAIR permit application that is required for renewal of the CAIR opt-in permit under paragraph (b)(1) of this section.

[65 FR 2727, Jan 18, 2000, as amended by 71 FR 74795, Dec. 13, 2006]

#### § 97.284 Opt-in process.

The permitting authority will issue or deny a CAIR opt-in permit for a unit for which an initial application for a CAIR opt-in permit under §97.183 is submitted in accordance with the following, to the extent provided in a State implementation plan revision submitted in accordance with §51.124(r)(1), (2), or (3) of this chapter and approved by the Administrator:

- (a) Interim review of monitoring plan. The permitting authority and the Administrator will determine, on an interim basis, the sufficiency of the monitoring plan accompanying the initial application for a CAIR opt-in permit under §97.283. A monitoring plan is sufficient, for purposes of interim review, if the plan appears to contain information demonstrating that the SO2 emissions rate and heat input of the unit and all other applicable parameters are monitored and reported in accordance with subpart HHH of this part. A determination of sufficiency shall not be construed as acceptance or approval of the monitoring plan.
- (b) Monitoring and reporting. (1)(i) If the permitting authority and the Administrator determine that the monitoring plan is sufficient under paragraph (a) of this section, the owner or operator shall monitor and report the SO<sub>2</sub> emissions rate and the heat input of the unit and all other applicable parameters, in accordance with subpart HHH of this part, starting on the date of certification of the appropriate monitoring systems under subpart HHH of this part and continuing until a CAIR opt-in permit is denied under §97.284(f) or, if a CAIR opt-in permit is issued, the date and time when the unit is withdrawn from the CAIR SO<sub>2</sub> Trading Program in accordance with §97.286.
- (ii) The monitoring and reporting under paragraph (b)(1)(i) of this section shall include the entire control period